

INTERNAL AUDIT SECTION - AUDITS FINALISED 2015/16

Head of Service	Audit	Date Finalised	Opinion Rating	Recommendations		
				Made	Agreed	Not Agreed
Adult Services	Use of Taxis	29/06/15	High	3	3	0
Adult Services	Victoria Park Kiosk	01/07/15	Moderate	11	11	0
Adult Services	Community Alarms Service	29/07/15	Moderate	10	10	0
Adult Services	Integrated Community Equipment Service	29/07/15	Moderate	15	15	0
Adult Services	Suresprung	29/07/15	Moderate	22	22	0
Adult Services	Home Care	13/08/15	Substantial	10	10	0
Adult Services	Unified Assessment of Care	03/03/16	Moderate	8	8	0
Child & Family Services	Taxi Authorisation	02/03/16	Substantial	12	12	0
Commercial Services	Contracts Register	24/12/15	Substantial	2	2	0
Communications & Customer Engagement	Communications & Public Relations	15/04/15	High	4	4	0
Communications & Customer Engagement	Executive Board Support	02/10/15	Substantial	7	7	0
Corporate Building & Property Services	Facilities Management	24/08/15	Substantial	14	14	0
Cultural Services	Active Swansea	09/04/15	High	2	2	0
Cultural Services	Foreshore & Lettings - Allotments	14/04/15	Substantial	12	12	0
Cultural Services	Bishopston Sports Centre	13/10/15	Substantial	9	9	0
Cultural Services	Outdoor Leisure Spot Checks	27/10/15	Moderate	7	7	0
Cultural Services	Pentrehafod Pool and Sports Hall	28/10/15	Moderate	7	7	0
Cultural Services	Grand Theatre (including Catering)	08/03/16	Moderate	25	23	2
Economic Regeneration & Planning	Economic Development Admin	10/04/15	Substantial	4	4	0
Economic Regeneration & Planning	Rural Development Plan	26/10/15	High	1	1	0
Education Inclusion	Behaviour & Learning Support	20/05/15	Substantial	13	13	0
Education Inclusion	Education Welfare Service	17/07/15	Substantial	3	3	0
Education Inclusion	Education Other Than at School	25/08/15	Substantial	12	12	0
Education Planning & Resources	Penyrheol Comprehensive School	08/04/15	High	3	3	0
Education Planning & Resources	Music Service	09/04/15	Moderate	14	14	0
Education Planning & Resources	Gowerton Comprehensive School	13/04/15	High	3	3	0
Education Planning & Resources	Pontlliw Primary School	15/04/15	High	1	1	0

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Education Planning & Resources	Talycopa Primary School	30/04/15	High	2	2	0
Education Planning & Resources	Gowerton Primary School	18/05/15	Substantial	5	5	0
Education Planning & Resources	Cila Primary School	29/06/15	Substantial	4	4	0
Education Planning & Resources	Arts in Education	01/07/15	Substantial	5	5	0
Education Planning & Resources	Sketty Primary School	07/07/15	Substantial	3	3	0
Education Planning & Resources	Dylan Thomas Community School	03/08/15	High	6	6	0
Education Planning & Resources	Birchgrove Comprehensive School	28/08/15	High	2	2	0
Education Planning & Resources	Cleaning Facilities	04/11/15	Substantial	10	10	0
Education Planning & Resources	Civic Centre Catering	05/11/15	Substantial	15	15	0
Education Planning & Resources	Townhill Community Primary School	03/12/15	Substantial	4	4	0
Education Planning & Resources	Hendrefoilan Primary School	08/12/15	Substantial	7	7	0
Education Planning & Resources	Bishop Gore Comprehensive School	10/12/15	Substantial	19	19	0
Education Planning & Resources	Penclawdd Primary School	22/01/16	Substantial	8	8	0
Education Planning & Resources	Gors Community Primary School	25/01/16	Substantial	16	16	0
Education Planning & Resources	Knelston Primary School	27/01/16	Substantial	11	11	0
Education Planning & Resources	YGG Y Login Fach	29/01/16	Substantial	8	7	1
Education Planning & Resources	YGG Bryniago	03/02/16	Substantial	3	3	0
Education Planning & Resources	Newton Primary School	23/02/16	Substantial	7	7	0
Education Planning & Resources	St Helens Primary School	24/02/16	High	4	4	0
Education Planning & Resources	Trallwn Primary School	24/02/16	High	1	1	0
Education Planning & Resources	Portmead Primary School	03/03/16	Moderate	25	25	0
Education Planning & Resources	Waun Wen Primary School	09/03/16	Substantial	5	5	0
Finance & Delivery	Council Tax 2014/15	13/04/15	High	4	4	0
Finance & Delivery	Construction Industry Tax Scheme	14/04/15	Substantial	3	3	0
Finance & Delivery	Accounts Receivable 2014/15	22/05/15	Moderate	12	12	0
Finance & Delivery	Accounts Payable 2014/15	01/06/15	High	5	5	0
Finance & Delivery	Leasing	04/06/15	High	1	1	0
Finance & Delivery	Business Rates 2014/15	16/06/15	High	3	3	0
Finance & Delivery	Petty Cash Accounts	17/06/15	Substantial	9	9	0
Finance & Delivery	Civic Centre Cash Office	23/06/15	High	5	5	0

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Finance & Delivery	Main Accounting System 2014/15	02/07/15	High	0	0	0
Finance & Delivery	Taxation - VAT	13/10/15	High	4	4	0
Finance & Delivery	Bank Reconciliation	02/11/15	High	0	0	0
Finance & Delivery	Capital Accounting 2015/16	07/01/16	High	2	2	0
Finance & Delivery	Treasury Management 2014/15	02/02/16	High	3	3	0
Finance & Delivery	Pension Fund Investments 2015/16	08/03/16	High	0	0	0
Finance & Delivery	School Bank Reconciliations	22/03/16	High	1	1	0
Finance & Delivery	Income Tax - Self Employed & Miscellaneous	24/03/16	Substantial	11	8	3
Highways & Transportation	Streetworks	08/07/15	Limited	15	15	0
Highways & Transportation	CTU - Fleet Hire/Spot Hire	16/07/15	Substantial	4	4	0
Highways & Transportation	Car Parks	10/12/15	Moderate	30	28	2
Highways & Transportation	Central Transport Unit - Fuel	22/01/16	Substantial	5	5	0
Highways & Transportation	Clydach Depot - Plant	22/01/16	Substantial	12	12	0
Housing & Public Protection	Leasehold Properties	14/07/15	Substantial	17	17	0
Housing & Public Protection	Gorseinon District Housing Office	05/08/15	High	4	4	0
Housing & Public Protection	Burials & Cremations	27/08/15	Substantial	7	7	0
Housing & Public Protection	Housing Partnerships	22/09/15	High	0	0	0
Housing & Public Protection	Trading Standards	05/10/15	Moderate	17	17	0
Housing & Public Protection	West Cross District Housing Office	06/10/15	Substantial	16	16	0
Housing & Public Protection	Eastside District Housing Office	02/11/15	Substantial	12	12	0
Housing & Public Protection	Sheltered Housing Service	09/11/15	Substantial	7	7	0
Housing & Public Protection	Housing Rents 2015/16	10/02/16	High	9	9	0
Human Resources & Organisational Development	Employee Vetting	01/04/15	Substantial	7	7	0
Human Resources & Organisational Development	Pensions 2014/15	07/04/15	Substantial	6	6	0
Human Resources & Organisational Development	Officers Expenses	05/08/15	Substantial	9	9	0
Human Resources & Organisational Development	Payroll 2014/15	05/08/15	Moderate	17	17	0

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Human Resources & Organisational Development	Teachers Pensions 2015/16	02/11/15	High	1	1	0
Human Resources & Organisational Development	Pensions 2015/16	15/02/16	Substantial	7	7	0
Human Resources & Organisational Development	Flexicards Machines & Security	11/03/16	Moderate	22	22	0
Information & Business Change	Physical & Environmental Controls	15/04/15	Substantial	6	6	0
Information & Business Change	Education Internet Controls	24/08/15	Substantial	9	9	0
Legal & Democratic Services	Acceptance of Tenders	23/02/16	High	5	5	0
Legal, Democratic Services	Land Charges	25/04/15	High	2	2	0
Poverty & Prevention	Young Peoples Service	09/10/15	High	12	12	0
Poverty & Prevention	Swansea Children's Centre & Mayhill Family Centre	13/10/15	Moderate	27	27	0
Social Services Directorate Services	Staff Development & Training Section	23/07/15	Substantial	10	9	1
Social Services Directorate Services	PARIS System	06/08/15	High	6	6	0
Waste Management & Parks	Parks Central Operations	25/01/16	Substantial	2	2	0
Waste Management & Parks	Grounds Maintenance - Stores/Ordering	18/05/15	Substantial	6	6	0
				776	767	9